

## Risk & Escalation Committee

HIW's Risk and Escalation Committee (REC) takes a key role in relation to assessing risks. The REC convenes on a monthly basis with a primary aim to:

- Consider the intelligence that we hold on health services and whether this indicates a risk of quality and safety standards not being met
- Reach a conclusion on whether action is required by HIW as a result of the assessment of risk

In considering what information we have and what view we hold about an organisation, the REC reaches conclusions on what action needs to be taken. This may include but is not limited to:

- Looking further into an issue by sourcing additional information
- Writing to a service to request an explanation/assurance on a matter
- Writing to Welsh Government setting out our concerns
- Escalating our concerns into the NHS Escalation and Intervention Arrangements (NHS only)
- The initiation of enforcement action (Independent Healthcare)
- A change to the inspection plan for the year, bringing forward or adding an inspection to the schedule
- Consideration of whether an investigation or special review should be launched.

The REC discusses and considers the most concerning risks that emerge from all the work that HIW undertakes. Underpinning the REC are supporting processes. These include:

- [Regulation 30/31 Notifications](#)
- [Ionising Radiation \(Medical Exposure\) Regulations \(IR\(ME\)R\) incidents](#)
- [Concerns raised with HIW](#)

**Diagram depicting HIW's processes:**

