

# **Local Review of Governance Arrangements at Swansea Bay University Health Board for the Provision of Healthcare services to Her Majesty’s Prison Swansea**

## **Terms of Reference**

### **Why are we doing this work?**

Healthcare Inspectorate Wales’ (HIW) ongoing purpose is to check that people in Wales are receiving good quality care, which is provided safely and effectively, in line with recognised standards.

In its [Operational Plan for 2021-22](#) we outlined our continued commitment to deliver bespoke local reviews in NHS settings, in order to drive improvement. We have committed to undertake a local review of the quality governance arrangements in place for the provision of healthcare services for Her Majesty’s Prison (HMP) Swansea, by Swansea Bay University Health Board (SBUHB).

HIW has the legal power to inspect prison healthcare services provided by NHS Wales bodies. HIW has a remit to enter and inspect any premises where care is provided by or for Welsh NHS bodies under the Health and Social Care (Community Health and Standards) Act 2003.

### **Background**

In August 2017 HIW assisted Her Majesty’s Inspectorate of Prisons (HMIP) during its inspection of HMP Swansea. Significant concerns were identified during this inspection and outlined in the report entitled “Scrutiny Visit of HMP Swansea”<sup>1</sup> in relation to some clinical practices and the health board’s overall governance arrangements.

A further HMIP inspection took place on 25 August and 2/3 September 2020, with HIW present again. The findings of this inspection were outlined in the report entitled

---

<sup>1</sup> <https://www.justiceinspectors.gov.uk/hmiprison/wp-content/uploads/sites/4/2018/01/Swansea-Web-2017.pdf>

“Scrutiny visit of HMP Swansea<sup>2</sup>” and again identified a series of concerns, some of which were reflective of those identified in August 2017. Both inspection reports highlighted concerns around the effectiveness of SBUHB’s quality governance arrangements in relation to the provision of healthcare services to HMP Swansea.

As a consequence of these concerns, in line with its escalation process, HIW formally met with SBUHB in December 2020 to seek assurances over actions taken in response to the issues found during the HMIP inspection. In response, the health board developed its own improvement plan to address the concerns which was shared with HIW.

HIW has decided to undertake a review of the effectiveness of SBUHB’s quality governance arrangements for the provision and oversight of health care services in HMP Swansea. The review is to assess the actions taken by the health board to address the issues highlighted by previous HMIP inspections, and how effective the health board’s quality governance arrangements are regarding prison healthcare.

## Scope and Methodology

The review will explore the quality governance arrangements in place at the health board for the provision of healthcare services at HMP Swansea. The review will seek to address the following overall question:

*Do the quality governance arrangements in place at the health board support the delivery of quality, safe and effective healthcare services to the population of HMP Swansea?*

In answering this question we will consider the following key lines of enquiry:

- What are the current quality governance arrangements in place at the health board to monitor and evaluate the provision of care being provided at the prison?
- Is the quality and safety of prison healthcare services understood at an operational level, and are any concerns and issues arising adequately acted upon by the health board?
- Is the quality and safety of prison healthcare services understood at Board level within the health board, and are any concerns and issues arising adequately acted upon in line with corporate procedure?

To test these arrangements, our fieldwork will focus on the primary and community care directorate, from the prison to the board. In addition, we will consider the views of staff within the health board and prison.

---

<sup>2</sup> <https://www.justiceinspectors.gov.uk/hmiprison/wp-content/uploads/sites/4/2020/10/Swansea-SV-web-2020.pdf>

To gather evidence for the review, we will carry out the following fieldwork:

- Interviews with a range of health board staff
- Interviews with selected/relevant board members at SBUHB
- Interviews with key staff at HMP Swansea
- Observations of relevant committees, corporate and operational meetings
- Document review and analysis of a range of corporate and operational information and data, including committee minutes and papers
- Staff survey within the primary and community care directorate including prison healthcare staff, to seek views of current governance arrangements and work place culture.

## Timescales

The table below includes estimated project timescales for the review:

Activity	Timescales
Fieldwork planning and document review	July/August 2021
Fieldwork	August/October 2021
Report Publication	January 2022

## Analysis and reporting

The review team will provide interim feedback to the health board if any immediate areas of concern are identified during the course of the review. HIW will also engage with HMIP as appropriate over the course of the review.

The review will conclude with the publication of a report that will set out the key themes and recommendations identified from our fieldwork. Any information provided by staff during the fieldwork will not be directly attributed to them in the report. In addition, a summary of anonymous survey results will be included within the report.

The health board will be provided with a copy of the draft report to comment on factual accuracy, and will receive a copy of the final report prior to publication.

If areas for improvement are identified, the health board will be required to complete an improvement plan which details how the service will address the findings set out in the report. Following review, the improvement plan will be published on HIW's website alongside the report.

## Personal data

This review forms part of HIW's work to provide independent assurance on the quality and safety of healthcare services in Wales. The Health and Social Care (Community

Health and Standards) Act 2003 (Part II, Chapter 4) gives HIW the power to carry out inspections, reviews and investigations of the NHS or services provided for the NHS. This terms of reference sets out our intended approach to the review.

Where we process personal data, this is in accordance with data protection legislation, including the Data Protection Act 2018 and the General Data Protection Regulations. Further information is set out in HIW's privacy notice which can be found on our website <https://hiw.org.uk/privacy-policy>.